



Attorney's Inket No.: 4887P090

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Guangcai Xing, et al.

Serial No.: 09/298,064

Filed: April 22, 1999

For: APPARATUS AND METHOD FOR EXPOSING A SUBSTRATE TO PLASMA

RADICALS

Assistant Commissioner for Patents Washington, D.C. 20231

Examiner: Zervigon, R.

Art Group: 1763

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Sir

Pursuant to Rule 1.97, Applicant desires to make of record the references set forth on the attached Form PTO 1449. A copy of each reference is submitted herewith.

It is hereby stated that this Information Disclosure Statement is being filed concurrently with a Request for Continued Examination (RCE), therefore no petition or fee is required.

However, in the event a petition is needed for consideration of this Information Disclosure

Statement, Applicant hereby so petitions. Please charge any additional fee due to Deposit

Respectfully submitted.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Dated: 1/3/ 2002

Raul D. Martinez Reg. No. 46,904

CERTIFICATE OF MAILING:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to. Box RCE. Assistant Commissioner for Patents, Washington, D.C. 20231, on January 31, 2002.

January 31, 2002.

Gordon

January 31, 200

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